



PTO/SB/08a (11-08)

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/925,284-Conf. #2660
				Filing Date	August 9, 2001
				First Named Inventor	Daniel Hawiger
				Art Unit	1644
				Examiner Name	R.B. Schwadron
Sheet	1	of	12	Attorney Docket Number	RUJ-001CNCPRCE2

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1*	US-4,474,893	10-02-1984	Reading	
	A2*	US-4,946,778	08-07-1990	Ladner et al.	
	A3*	US-4,954,617	09-04-1990	Fanger et al.	
	A4*	US-5,455,030	10-03-1995	Ladner et al.	
	A5*	US-5,476,786	12-19-1995	Huston	
	A6*	US-5,545,807	08-13-1996	Surani et al.	
	A7*	US-5,698,679	12-16-1997	Nemazee	
	A8*	US-5,869,057	02-09-1999	Rock	
	A9*	US-5,876,917	03-02-1999	Hart et al.	
	A10*	US-5,922,845	07-13-1999	Deo et al.	
	A11*	US-6,080,409	06-27-2000	Laus et al.	
	A12*	US-6,277,959	08-21-2001	Valladeau et al.	
	A13*	US-6,340,569	01-22-2002	Ball et al.	
	A14*	US-6,479,247-A1	11-12-2002	Hart et al.	
	A15*	US-20020187131-A1	12-12-2002	Hawiger et al.	
	A16*	US-6,756,478-A1	06-29-2004	Valladeau et al.	
	A17*	US-20040001853-A1	01-01-2004	George et al.	

FOREIGN PATENT DOCUMENTS						
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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	B1	WO-86/01533-A1	03-13-1986	Celltech Limited		
	B2	WO-88/00052-A1	01-14-1988	Trustees of Dartmouth College		
	B3	WO-92/07579-A1	05-14-1992	The Children's Medical Center Corporation		
	B4	WO-92/03918-A1	03-19-1992	Genpharm International, Inc.		
	B5	WO-93/04187-A1	03-04-1993	Medical Research Council		
	B6	WO-93/12227-A1	06-24-1993	Genpharm International, Inc.		

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	B7	WO-94/10332-A1	05-11-1994	Medarex, Inc.		
	B8	WO-95/15340-A1	06-08-1995	The Board of Trustees of Leland Stanford Junior University		
	B9	WO-96/23882-A1	08-08-1996	The Rockefeller University		
	B10	WO-97/45449-A1	12-04-1997	Hart, Derek et al.		
	B11	WO-98/24884-A1	06-11-1998	Genpharm International		
	B12	WO-98/15579-A1	04-16-1998	Canterbury Health Limited		

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)				
	B13	WO-99/02562-A1	01-21-1999	Schering Corporation		
	B14	WO-99/16455-A1	04-08-1999	The Austin Research Institute		
	B15	WO-99/24554-A2	05-20-1999	University of Pittsburgh		
	B16	WO-99/47673-A2	09-23-1999	Schering Corporation		
	B17	WO-99/55369-A1	11-04-1999	Smithkline Beecham Corporation		
	B18	WO-99/58678-A2	11-18-1999	Micromet GmbH		

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	B19	WO-00/00156-A2	01-06-2000	Trustees of Dartmouth College		
	B20	WO-00/00592-A1	01-06-2000	Bae, Yong Soo et al.		
	B21	WO-00/18803-A2	04-06-2000	Schering Corporation		
	B22	WO-00/63251-A1	10-26-2000	Katholieke Universiteit Nijmegen		
	B23	WO-01/25492-A1	04-12-2001	Biosite Diagnostics Inc. et al.		
	B24	WO-01/85798-A2	11-15-2001	Medarex, Inc.		

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Sheet	5	of	12														

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	B25	WO-03/040169-A2	05-15-2003	Medarex Inc et al.		
	B26	WO-04/026326-A2	04-01-2004	Stichting Katholieke Universiteit		

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NON PATENT LITERATURE DOCUMENTS			
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	C1	Apostolopoulos, Vasso et al, "Ex vivo targeting of the macrophage mannose receptor generates anti-tumor CTL responses," <i>Vaccine</i> , Vol. 18:3174-3184 (2000)	
	C2	Berard, Frederic et al, "Cross-Priming of Naive CD8 T Cells against Melanoma Antigens Using Dendritic Cells Loaded with Killed Allogeneic Melanoma Cells," <i>J. Exp. Med.</i> , Vol. 192(11):1535-1543 (2000)	
	C3	Berlyn, Kathleen A. et al, "Generation of CD4 ⁺ and CD8 ⁺ T Lymphocyte Responses by Dendritic Cells Armed with PSA/Anti-PSA (Antigen/Antibody) Complexes," <i>Clinical Immunology</i> , Vol. 101(3):276-283 (2001)	
	C4	Bird, Robert E. et al, "Single-Chain Antigen-Binding Proteins," <i>Science</i> , Vol. 242(4877):423-426 (1988)	
	C5	Brennan, Maureen et al, "Preparation of Bispecific Antibodies by Chemical Recombination of Monoclonal Immunoglobulin G1 Fragments," <i>Science</i> , Vol. 229:81-83 (1985)	
	C6	Casset, Florence et al., "A peptide mimetic of an anti-CD4 monoclonal antibody in rational design," <i>Biochemical and Biophysical Research Communications</i> , Vol. 307:198-205 (2003)	

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	C7	Chen, Yvonne et al., "Selection and Analysis of an Optimized Anti-VEGF Antibody: Crystal Structure of an Affinity-matured Fab in Complex with Antigen," <i>J. Mol. Biol.</i> , Vol. 293:865-881 (1999)		
	C8	Chien, Nadine C. et al, "Significant structural and functional change of an antigen-binding site by a distant amino acid substitution: Proposal of a structural mechanism," <i>Proc. Natl. Acad. Sci. USA</i> , Vol. 86:5532-5536 (1989)		
	C9	Cox, John C. et al., "Adjuvants - a classification and review of their modes of action," <i>Vaccine</i> , Vol. 15(3):248-256 (1997)		
	C10	De Pascalis, Roberto et al., "Grafting of 'Abbreviated' Complementarity-Determining Regions Containing Specificity-Determining Residues Essential for Ligand Contact to Engineer a Less Immunogenic Humanized Monoclonal Antibody," <i>The Journal of Immunology</i> , Vol. 169:3076-3084 (2002)		
	C11	Frleta, Davor et al, "Class II-targeted antigen is superior to CD40-targeted antigen at stimulating humoral responses in vivo," <i>International Immunopharmacology</i> , Vol. 1:265-275 (2001)		
	C12	Galfre, G. et al, "Antibodies to major histocompatibility antigens produced by hybrid cell lines," <i>Nature</i> , Vol. 266(7):550-552 (1977)		

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	C13	Geissmann, Frédéric et al, "A Subset of Human Dendritic Cells Expresses IgA Fc Receptor (CD89), Which Mediates Internalization and Activation Upon Cross-Linking by IgA Complexes," <i>The Journal of Immunology</i> , Vol. 166:346-352 (2001)	
	C14	Glennie, Martin J. et al, "Preparation and Performance of Bispecific F(ab') ₂ Antibody Containing Thioether-Linked Fab'γ Fragments," <i>The Journal of Immunology</i> , Vol. 139(7):2367-2375 (1987)	
	C15	Hawiger, Daniel et al, "Dendritic Cells Induce Peripheral T Cell Unresponsiveness Under Steady State Conditions In Vivo," <i>J. Exp. Med.</i> , Vol. 194(6):769-779 (2001)	
	C16	He, Li-Zhen et al, "A Novel Human Cancer Vaccine Elicits Cellular Responses to the Tumor-Associated Antigen, Human Chorionic Gonadotropin β," <i>Clinical Cancer Research</i> , Vol. 10:1920-1927 (2004)	
	C17	He, Lizhen et al, "An Antigen Presenting Cell-Targeted Cancer Vaccine that Elicits CD4 and CD8 Effector Responses to the hCGβ Tumor-Associated Antigen," <i>Proceedings of the American Association for Cancer Research</i> , Vol. 44, 2nd ed., pg. 167 (2003)	
	C18	Janeway, Charles A. Jr. et al., "Localized regions of hypervariable sequence form the antigen-binding site," <i>Immunobiology</i> , 6 th Edition, Garland Science, Chpt. 3, pgs. 110-112 (2004)	

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	C19	Karpovsky, Boris et al, "Production of Target-Specific Effector Cells Using Hetero-Cross-Linked Aggregates Containing Anti-Target Cell and Anti-Fcγ Receptor Antibodies," <i>Journal of Experimental Medicine</i> , Vol. 160:1686-1701 (1984)	
	C20	Kawakami, Yutaka et al, "The Use of Melanosomal Proteins in the Immunotherapy of Melanoma," <i>Journal of Immunotherapy</i> , Vol. 21(4):237-246 (1998)	
	C21	Kobayashi, Hiroyuki et al, "Tryptophan H33 plays an important role in pyrimidine (6-4) pyrimidone photoproduct binding by a high-affinity antibody," <i>Protein Engineering</i> , Vol. 12(10):879-884 (1999)	
	C22	Lamminmäki, Urpo et al., "Crystal Structure of a Recombinant Anti-estradiol Fab Fragment in Complex with 17β-Estradiol," <i>The Journal of Biological Chemistry</i> , Vol. 276(39):36687-36694 (2001)	
	C23	Liu, Margaret A. et al, "Heteroantibody duplexes target cells for lysis by cytotoxic T lymphocytes," <i>Proc. Natl. Acad. Sci. USA</i> , Vol. 82:8648-8652 (1985)	
	C24	Lonberg, Nils et al, "Antigen-specific human antibodies from mice comprising four distinct genetic modifications," <i>Nature</i> , Vol. 368:856-859 (1994)	
	C25	MacCallum, Robert M. et al., "Antibody-antigen Interactions: Contact Analysis and Binding Site Topography," <i>J. Mol. Biol.</i> , Vol. 262:732-745 (1996)	

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	C26	Monteiro, Renato C. et al, "Molecular Heterogeneity of Fcα Receptors Detected by Receptor-Specific Monoclonal Antibodies," <i>The Journal of Immunology</i> , Vol. 148(6):1764-1770 (1992)	
	C27	Noorman, Femke et al, "Monoclonal antibodies against the human mannose receptor as a specific marker in flow cytometry and immunohistochemistry for macrophages," <i>Journal of Leukocyte Biology</i> , Vol. 61:63-72 (1997)	
	C28	Nouri-Shirazi, Mahyar et al, "Dendritic Cells Capture Killed Tumor Cells and Present Their Antigens to Elicit Tumor-Specific Immune Response," <i>The Journal of Immunology</i> , Vol. 165:3797-3803 (2000)	
	C29	Paulus, H., "Preparation and Biomedical Applications of Bispecific Antibodies," <i>Behring Inst. Mitt.</i> , Vol. 78:118-132 (1985)	
	C30	Potter, Kathleen N. et al, "Evidence for Involvement of a Hydrophobic Patch in Framework Region 1 of Human V4-34-Encoded Igs in Recognition of the Red Blood Cell I Antigen," <i>The Journal of Immunology</i> , Vol. 169:3777-3782 (2002)	

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				Application Number	09/925,284-Conf. #2660
				Filing Date	August 9, 2001
				First Named Inventor	Daniel Hawiger
				Art Unit	1644
				Examiner Name	R.B. Schwadron
Sheet	11	of	12	Attorney Docket Number	RUJ-001CNCPRCE2

NON PATENT LITERATURE DOCUMENTS			
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	C31	Ramakrishna, Vanky et al, "Mannose Receptor Targeting of Tumor Antigen pmel17 to Human Dendritic Cells Directs Anti-Melanoma T Cell Responses via Multiple HLA Molecules," <i>The Journal of Immunology</i> , Vol. 172:2845-2852 (2004)	
	C32	Ramakrishna, Venky et al, "Synergistic Role of TLR Agonists in T Cell-Mediated Immunity Induced by Mannose Receptor Antibody Targeting of Tumor Antigens to Human DCs," <i>J. Immunother.</i> , Vol. 28(6):658 (2005)	
	C33	Rudikoff, Stuart et al, "Single amino acid substitution altering antigen-binding specificity," <i>Proc. Natl. Acad. Sci. USA</i> , Vol. 79:1979-1983 (1982)	
	C34	Sallusto, Federica et al, "Dendritic Cells Use Macropinocytosis and the Mannose Receptor to Concentrate Macromolecules in the Major Histocompatibility Complex Class II Compartment: Downregulation by Cytokines and Bacterial Products," <i>J. Exp. Med.</i> , Vol. 182:389-400 (1995)	
	C35	Schjetne, Karoline W. et al., "Delivery of Antigen to CD40 Induces Protective Immune Responses against Tumors," <i>The Journal of Immunology</i> , Vol. 178:4169-4176 (2007)	
	C36	Taylor, Lisa D. et al., "A transgenic mouse that expresses a diversity of human sequence heavy and light chain immunoglobulins," <i>Nucleic Acids Research</i> , Vol. 20(23):6287-6295 (1992)	

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	C37	Tempest, Philip R. et al, "A Humanized Anti-Tumor Necrosis Factor- α Monoclonal Antibody That Acts as a Partial, Competitive Antagonist of the Template Antibody," <i>Hybridoma</i> , Vol. 13(3):183-190 (1994)	
	C38	Tjoa, Benjamin A. et al, "Development of dendritic-cell based prostate cancer vaccine," <i>Immunology Letters</i> , Vol. 74:87-93 (2000)	
	C39	Tütting, Thomas et al, "Autologous Human Monocyte-Derived Dendritic Cells Genetically Modified to Express Melanoma Antigens Elicit Primary Cytotoxic T Cell Responses In Vitro: Enhancement by Cotransfection of Genes Encoding the Th1-Biasing Cytokines IL-12 and IFN- α ," <i>The Journal of Immunotherapy</i> , Vol. 160:1139-1147 (1998)	
	C40	Wallace, Paul K. et al, "Exogenous antigen targeted to Fc γ RI on Myeloid cells is presented in association with MHC class I," <i>Journal of Immunological Methods</i> , Vol. 248:183-194 (2001)	
	C41	Wang, Hui et al, "Rapid antibody responses by low-dose, single-step, dendritic cell-targeted immunization," <i>PNAS</i> , Vol. 96(2):847-852 (2000)	
	C42	Ward, E. Sally et al, "Binding activities of a repertoire of single immunoglobulin variable domains secreted from <i>Escherichia coli</i> ," <i>Nature</i> , Vol. 341:544-546 (1989)	
	C43	You, Zhaoyang et al, "Targeting Dendritic Cells to Enhance DNA Vaccine Potency," <i>Cancer Research</i> , Vol. 61:3704-3711 (2001)	

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